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**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

Application No.	10/799,229
Filing Date	March 11, 2004
First Named Inventor	Colleen A. Barton
Art Unit	2856
Examiner Name	Unknown
Total Number of Pages in This Submission	6
Attorney Docket Number	3700P002XC

**ENCLOSURES (check all that apply)**

<input checked="" type="checkbox"/> Fee Transmittal Form  <input type="checkbox"/> Fee Attached  <input type="checkbox"/> Amendment / Response  <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s)  <input type="checkbox"/> Extension of Time Request  <input type="checkbox"/> Express Abandonment Request  <input checked="" type="checkbox"/> Information Disclosure Statement  <input checked="" type="checkbox"/> PTO/SB/08  <input type="checkbox"/> Certified Copy of Priority Document(s)  <input type="checkbox"/> Response to Missing Parts/Incomplete Application  <input type="checkbox"/> Basic Filing Fee <input type="checkbox"/> Declaration/POA  <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s)  <input type="checkbox"/> Licensing-related Papers  <input type="checkbox"/> Petition  <input type="checkbox"/> Petition to Convert a Provisional Application  <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address  <input type="checkbox"/> Terminal Disclaimer  <input type="checkbox"/> Request for Refund  <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group  <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences  <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)  <input type="checkbox"/> Proprietary Information  <input type="checkbox"/> Status Letter  <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <div style="border: 1px solid black; padding: 5px; margin-top: 10px;">Nine (9) References Cited; Forty (40) Electronically filed on <u>7/26/04</u> Postcard.</div>
<b>Remarks</b>		

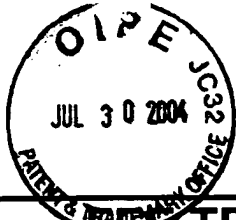
**SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT**

Firm or Individual name	Nathan P. Elder, Reg. No. 55,150 BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Signature	<i>Nathan P. Elder</i>
Date	7-26-04

**CERTIFICATE OF MAILING/TRANSMISSION**

I hereby certify that this correspondence is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Typed or printed name	Genova Walls
Signature	<i>Genova Walls</i>
Date	7/26/04



# **FEE TRANSMITTAL for FY 2004**

Effective 01/01/2004. Patent fees are subject to annual revision.

☐ Applicant claims small entity status. See 37 CFR 1.27.

**TOTAL AMOUNT OF PAYMENT**

(\$)

## **Complete if Known**

Application Number	10/799,229
Filing Date	March 11, 2004
First Named Inventor	Colleen A. Barton
Examiner Name	Unknown
Art Unit	2856
Attorney Docket No.	3700P002XC

## **METHOD OF PAYMENT (check all that apply)**

☐ Check ☐ Credit card ☐ Money Order ☐ Other ☒ None  
☒ Deposit Account

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Credit any overpayments  
☒ Charge any additional fee(s) or underpayment of fees as required under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.  
☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account

## **FEE CALCULATION**

### **1. BASIC FILING FEE**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	770	2001	385	Utility filing fee	
1002	340	2002	170	Design filing fee	
1003	530	2003	265	Plant filing fee	
1004	770	2004	385	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					(\$)

### **2. EXTRA CLAIM FEES**

Total Claims  - 20\* =  X  =   
Independent Claims  - 3 =  X  =   
Multiple Dependent  =

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	86	2201	43	Independent claims in excess of 3	
1203	290	2203	145	Multiple Dependent claim, if not paid	
1204	86	2204	43	**Reissue independent claims over original patent	
1205	18	2205	9	**Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$)

\*or number previously paid, if greater, For Reissues, see below

## **FEE CALCULATION (continued)**

### **3. ADDITIONAL FEES**

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet.	
2053	130	2053	130	Non-English specification	
1812	2,520	1812	2,520	For filing a request for ex parte reexamination	
1804	920 *	1804	920 *	Requesting publication of SIR prior to Examiner action	
1805	1,840 *	1805	1,840 *	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	420	2252	210	Extension for reply within second month	
1253	950	2253	475	Extension for reply within third month	
1254	1,480	2254	740	Extension for reply within fourth month	
1255	2,010	2255	1,005	Extension for reply within fifth month	
1404	330	2401	165	Notice of Appeal	
1402	330	2402	165	Filing a brief in support of an appeal	
1403	290	2403	145	Request for oral hearing	
1451	1,510	2451	1,510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive - unavoidable	
1453	1,330	2453	665	Petition to revive - unintentional	
1501	1,330	2501	665	Utility issue fee (or reissue)	
1502	480	2502	240	Design issue fee	
1503	640	2503	320	Plant issue fee	
1460	130	2460	130	Petitions to the Commissioner	
1807	50	1807	50	Processing fee under 37 CFR 1.17(q)	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	770	1809	385	Filing a submission after final rejection (37 CFR § 1.129(a))	
1810	770	2810	385	For each additional invention to be examined (37 CFR § 1.129(b))	
1801	770	2801	385	Request for Continued Examination (RCE)	
1802	900	1802	900	Request for expedited examination of a design application	
Other fee (specify)					

\* Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$)

## **SUBMITTED BY**

## **Complete (if applicable)**

Name (Print/Type)

Nathan P. Elder

Registration No.  
(Attorney/Agent)

55,150

Telephone

(408) 947-8200

Signature

Nathan P. Elder

Date

7-26-04



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Application of:

COLLEEN A. BARTON, ET AL.

Application No.: 10/799,229

Filed: March 11, 2004

For: **METHOD AND LOGIC FOR  
CAPTURING AND ANALYZING  
CONDUIT DATA**

Art Group: 2856

Examiner: Unknown

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §1.97**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

In accordance with the duty of disclosure, enclosed is a copy of IDS Citation Form PTO/SB/08 or PTO-1449, together with copies of the documents cited on that form, except for copies not required to be submitted (e.g., copies of U.S. patents and U.S. published patent applications need not be enclosed for applications filed after June 30, 2003). This IDS and IDS Citation Form are being submitted before the mailing of a first Office Action. It is respectfully requested that the cited references be considered and that the enclosed copy of PTO/SB/08 be initialed by the Examiner to indicate such consideration and a copy thereof returned to applicant(s). Some or all of the references listed on the enclosed PTO/SB/08 were previously identified in the parent application (Application No. 09/657,759, filed September 8, 2000) and copies of the references were furnished at that time. Accordingly, per 37 CFR §1.98(d)(1) additional copies of those references are not submitted herewith.

It is hereby stated that no item of information contained in the Information Disclosure Statement was cited in a patent office in a counterpart application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the Information Disclosure Statement was known to any individual associated with the filing or prosecution of the subject application more than three months prior to the filing of the Information Disclosure Statement.

The submission of this Information Disclosure Statement is not to be construed as a representation that a search has been made in the subject application and is not to be construed as an admission that the information cited in this statement is material to patentability.

Applicant has concurrently submitted an electronic Information Disclosure Statement(s). Any fees that are required for submission of an Information Disclosure Statement were included in the electronic submission. Applicant respectfully requests that one submitted fee be accepted for the second and any subsequent Information Disclosure Statement submissions.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Date: 7-26-04

Nathan P. Elder  
Nathan P. Elder, Reg. No. 55,150

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Geneva Walls 7/26/04  
Geneva Walls Date

JUL 30 2004



PTO/SB/08A(10-01)

Approved for use through 10/31/2002. OMB 651-0031  
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Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449A/PTO <b>INFORMATION DISCLOSURE          STATEMENT BY APPLICANT</b> (Use as many sheets as necessary)	Complete if Known	
	<b>Application Number</b>	10/799,229
	<b>Filing Date</b>	March 11, 2004
	<b>First Named Inventor</b>	Barton, Colleen
	<b>Group Art Unit</b>	2856
	<b>Examiner Name</b>	Unknown
Sheet 1 of 1	Attorney Docket No: 3700P002XC	

## FOREIGN PATENT DOCUMENTS

Examiner Initials*	Foreign Document No	Publication Date	Name of Patentee or Applicant of cited Document	Class	Subclass	T <sup>2</sup>
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## OTHER DOCUMENTS -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
		FINERMAN, AARON , et al., "(Part 60 of 196) Bibliography", <u>Software Patent Institute Database of Software Technologies; Record 2; Serial No. ACMR66.0066., (1967),4 pgs</u>	
		FINERMAN, AARON , et al., "3.14 Earth Science", <u>Software Patent Institute Database of Software Technologies; Record 1, Serial No. acmr6610.0022., (10/1/66),3 pgs</u>	
		O'BRIEN, RICHARD , et al., "Developing Applications on LOCK", <u>Proceedings of the 14th National Computer Security Conference, Washington, D.C., (10/91),pp147-156</u>	
		O. SAMI, SAYDJARI , "LOCK Treak: Navigating Uncharted Space", <u>1989 IEEE Computer Socity Symposium on Security and Privacy, (5/1989),pp 167-175</u>	
		SAMMET, JEAN E., "Finding Structure in Co-Occurrence Matrice for Texture Analysis", <u>Software Patent Institute Database of Software Technologies; Record 1; Serial No. acmr8007.0154., (7/1/80),3 pgs</u>	
		SAMMET, JEAN E., "Pipeline", <u>Software Patent Institute Database of Software Technologies; Reocrd 1; Serial No. ACMGC80.0135., (1984),2 pgs</u>	
		SAMMET, JEAN E., "Using Computer Graphics to Interpret Simulation Output", <u>Software Patent Institue Database of Software Technologies; Record 1, Searial No. acmr8109.0104., (9/1/81),2 pgs</u>	
		TRUE SEABORN, "Geographical Survey Computers Aid Energy Research", <u>Software Patent Institute Database of Software Technologies; Record 4; Searial No. IEEE 1105.0136., (5/1/78),2 pgs</u>	
		TRUE SEABORN, "Structural/Syntactic", <u>Software Patent Institute Database of Software Technologies; Record 5; Serial No. IEEE 1512.0033., (12/1/82),3 pgs</u>	

EXAMINER

DATE CONSIDERED

Substitute Disclosure Statement Form (PTO-1449)

\* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional) 2 Applicant is to place a check mark here if English language Translation is attached